#### **AUSTRALIA**

Patents Act 1990

IN THE MATTER OF Australian Patent Application Serial No 696764 by Human Genome Sciences, Inc.

-and-

IN THE MATTER OF Opposition thereto by Ludwig Institute for Cancer Research

### STATUTORY DECLARATION

I. Kari Alitalo of The Molecular/Cancer Biology Laboratory, Haartman Institute, University of Idelsinki, SF-00014 Helsinki, Finland do solemnly and sincerely declare as follows:

### Introduction

#### Background

I am presently working as Research Professor with The Finnish Medical Research Council of the Finnish Academy of Sciences. Since receiving my M.D. and M.Sc.D. in 1977 and 1980, respectively, from the University of Helsinki, I have worked substantially continuously as a professor and scientific researcher in Finland in areas of cellular and molecular biology and cancer research. My research has included substantial studies and explorations in fields of cancer, cancer metastasis, angiogenesis, lymphangiogenesis, and other areas related to angiogenesis. In addition to my own research efforts and my collaborations with others, I receive numerous invitations to speak at national and international symposiums in these areas of study, I supervise post-graduate research of others, I have authored and co-authored numerous original research articles published in peer-reviewed journals, and I have served on the editorial board of such journals. My detailed *curriculum vitae* is attached hereto as Exhibit 1.

1.2 I have conducted and collaborated in substantial research relating to a growth factor gene and protein that my laboratory calls "Vascular Endothelial Growth Factor C" or "VEGF-C." My attached *curriculum vitae* shows that I have co-authored several publications in peer-reviewed journals relating to the VEGF-C gene and protein, its synthesis and processing in cells, and its biological activities *in vitro* and *in vivo*. Among these publications are the following:

**Document D70:** Joukov et al., "A Novel Vascular Endothelial Growth Factor, VEGF-C, Is a Ligand for the Flt4 (VEGFR-3) and KDR (VEGFR-2) Receptor Tyrosine Kinases," *EMBO J.*, 15(2): 290-298 (1996).

Document D71: Joukov et al., "Proteolytic Processing regulates receptor specificity and activity of VEGF-C," EMBO J., 16(13): 3898-3911 (1997)

Document D74: Kukk et al., "VEGF-C receptor binding and pattern of expression with VEGFR-3 suggests a role in lymphatic vascular development," Development, 122: 3829-37 (1996).

l also have filed patent applications relating to VEGF-C, VEGF-C variants, and uses thereof. Among these applications are the following applications:

Document D72: International Patent Application No. PCT/FI96/00427, filed on 1 August 1996 by Helsinki University Licensing Ltd Oy (WO 97/05250).

Document D73: International Patent Application No. PCT/US98/01973, filed on 2 February 1998 by Ludwig Institute for Cancer Research et al. (WO 98/33917). Thus, my laboratory and my collaborators have substantial expertise and experience working with and expressing the VEGF-C gene and protein.

I am familiar with the opposition filed by Ludwig Institute for Cancer Research ("Ludwig Institute") to the issuance of a patent to Human Genome Sciences, Inc., ("HGS") based on HGS's Australian Patent Application No. 696764 ("the opposed application"). Ludwig Institute asked me to perform a protein expression study that may be relevant to the opposition, and provide this declaration in which I report the study and the results.

In making this declaration to the Australian Patent Office, I understand that I have an overriding duty to the Patent Office (and to any Australian Federal Court that should review the Patent Office decision) to provide objective scientific analysis that I believe to be truthful. I hereby affirm that, to the best of my knowledge and belief, factual statements herein are true and opinion statements herein represent my objective scientific opinion and analysis.

#### II. VEGF2 and VEGF-C

- 2.1 The human growth factor which my laboratory and others in the scientific community call "VEGF-C" is encoded by a human gene having 419 codons. The coding sequence of a VEGF-C cDNA may be found in **Document D73** or in the publicly accessible Genbank database under Accession No. X94216.
- The 350 amino acid VEGF-2 polypeptide sequence disclosed in the opposed application of Human Genome Sciences, entitled "Vascular Endothelial Growth Factor 2" (VEGF-2(HGS)) corresponds to amino acid residues 70 to 419 of human VEGF-C (Genbank Accession No. X94216), with the exception of a single amino acid difference (Lys/Gln) at position 414 of the VEGF-C sequence. HGS subsequently filed a later patent application that contained a 419 amino acid "full length" VEGF2 sequence. (See, e.g., Fig 1A-1E of Document D44 (WO 96/39515)) The 419 residue VEGF-C and VEGF2 sequences are identical except for two amino acid differences: one at position 3 (Leu/Ser), and another at position 414 (Lys/Gln) of the VEGF-C sequence. Thus, my experience working with VEGF-C is applicable to working with VEGF2.

### III. Signal Peptides

The opposed patent application actually contains sequence ambiguities. If one compares the VEGF-C sequence with the VEGF2 sequence in the Sequence Listing of the opposed application, one observes amino acid differences at residue 73 and 414, and an insertion of an extra Cys residue in the VEGF2 sequence at a location between residues 369 and 370 of the 419 residue VEGF-C sequence. Based on HGS's later filed patent applications, I have concluded that the VEGF2 sequences in the figures were more appropriate to use in the experiments described herein.

- 3.1 'Polypeptides such as growth factors that are destined for extracellular secretion are first synthesized in the cellular cytoplasm. Such polypeptides generally include a short secretory signal peptide at their amino terminus that is usually cleaved off, but serves as a vital signal to direct the nascent polypeptide into the cell's protein secretion apparatus.
- 3.2 Scientific experiments in my laboratory has determined that the first approximately 31 amino acids from the 419 amino acid form of VEGF-C serve as a signal peptide. The experimental details and evidence underlying this determination are reported in Document D71.
- In the opposed patent application, the 350 amino acid VEGF2 sequence is lacking the 31 amino acids that represent the VEGF-C signal peptide. In the application, the inventors assert that the first 24 amino acids of their VEGF2 sequence (which would approximately correspond to amino acids 70-93 of the full-length 419 amino acid VEGF-C sequence) operate as a signal peptide.

### Experimental Purpose

4.1 In view of my laboratory's expertise in expressing and working with the VEGF-C gene and protein, the Ludwig Institute asked me to perform experiments to determine whether or not the 350 amino acid protein contains an operative signal peptide, as alleged in the opposed application.

#### Experimental Design

#### 1. Overview

The accumulated knowledge of molecular biologists regarding signal peptides have permitted biologists to identify certain characteristic features of signal peptides. (One such feature is an amino acid composition comprising largely hydrophobic residues.) Computer programs have been designed to predict whether an amino acid sequence begins with a signal peptide, and to identify the site in an amino acid sequence where a putative signal peptide is cleaved. As a first part of my analysis, I used one such

program, the SignalP program at the Center for Biological Sequence Analysis, The Technical University of Denmark, to analyze the approximately 350 amino acid VEGF2 sequence for a series of residues having characteristics of a signal sequence.

5.2. As a second part of my analysis, I transformed a mammalian cell line with an expression vector containing a polynucleotide that encodes the 350 amino acid VEGF2 sequence ("VEGF2(HGS)"), grew the cell line under conditions in which the cells produce polypeptides, and then assayed the growth medium of the cells to determine whether the cells were secreting VEGF2. These experiments included various experimental controls to assure that there was no problem with the expression vector, the cells, the transformation procedures, the growth conditions, or other parameters. The actual details of the experimental protocol are described in the next section.

### II. <u>Detailed Experimental Protocol</u>

- To determine whether eukcaryotic cells can express and secrete VEGF2(HGS), an expression plasmid containing a VEGF2(HGS) polynucleotide sequence was constructed. This involved preparing a VEGF2(HGS) DNA fragment, and inserting the fragment into a commercial expression vector.
  - 6.1.1 The polymerase chain reaction (PCR) was employed to construct a DNA fragment that encodes amino acids 70 to 419 of VEGF-C, followed by a short hemagglutinin (HA) tag fused in-frame to the 3' end of the VEGF-C coding region.<sup>2</sup> The 5'-primer used in the PCR reaction contained a BamHI restriction endonuclease recognition site followed by the first 18 nucleotides from the VEGF-C(70-419) coding sequence. The 3'-primer contained an XbaI recognition

As explained above, amino acids 70-419 of VEGF-C differ at position 414 from the VEGF2(HGS) amino acid sequence presented in the figures of the opposed patent. Since any signal peptide in VEGF2(HGS) would occur at the beginning (amino terminus) of the VEGF2(HGS) sequence, a single change at position 414, and the inclusion of a HA-tag at the end (carboxy terminus) are inconsequential to this expression study. These assumptions are verified by the VEGF-C positive control that was included in these experiments, and by the ability of my laboratory and many other laboratories to recombinantly express other polypeptides with a carboxy terminal HA tag to facilitate purification.

site, an HA-tag, a stop codon, and the last 15 nucleotides from the VEGF-C(70-419) coding region, excluding the stop codon. The locations of the 5' and 3' primers with respect to the complete VEGF-C cDNAsequence (which was used as PCR template DNA), are shown in Exhibit 2 attached hereto.

- 6.1.2 The resulting PCR product was digested with BamHI and XbaI and inserted into the multiple cloning site of the commercially available expression vector pcDNA1/Amp (Invitrogen) that had been digested with the same enzymes. This construct was named VEGF2(HGS)/pcDNA1, and DNA sequencing was performed to confirm that the VEGF2(HGS) insert was present and in the correct orientation for expression.
- 6.1.3 To serve as an experimental control, a similar expression plasmid, designated VEGF-C/pcDNA1 was also constructed. In this expression plasmid, a DNA encoding the complete 419 amino acid VEGF-C polypeptide was cloned into pcDNA1.
- The 293T mammalian cell line was selected for the expression study. Thus, 293T cells, grown in DMEM medium supplemented with 10% fetal bovine serum, glutamine and penicillin/streptomycin, were mock-transfected (control), transiently transfected with VEGF2(HGS)/pcDNA1, or transiently transfected with VEGF-C/pcDNA1 using the calcium-phosphate method.
- Radioactive amino acids that would be incorporated into nascent polypeptides were introduced into the cell growth medium to assist in the identification of expressed polypeptides. In particular, 48 hours after transfection, the transfected cells were washed twice with phosphate-buffered saline (PBS) and metabolically labeled in MEM medium containing 100μCi/ml <sup>35</sup>S-methonine and <sup>35</sup>S-cysteine (Promix, Amersham) for 6 hours. The conditioned media was harvested and cleared of contaminants by centrifugation. After washing three times with ice cold PBS, the cells were lysed in ice cold RIPA-buffer

conditioned media (lane 1), from 293T cells transfected with VEGF2(HGS)/pcDNA1. In contrast, VEGF-C polypeptide was detected in both cell lysates (lane 5) and conditioned media (lane 2) from 293T cells transfected with VEGF-C/pcDNA1. VEGF2(HGS) detected in cell lysates migrates as a circa 46 kD protein, whereas the majority of VEGF-C detected in the conditioned media migrated as a broad doublet band of approximately 29-31 kD polypeptides and another band of about 21 kD. A significant quantity of higher molecular weight polypeptides were observed in the cell lysates of the VEGF-C-transfected cells, which I interpret as VEGF-C "captured" at various stages of proteolytic processing (as a result of lysing the cells six hours after labeling. In addition, it is readily apparent from the autoradiogram that the expression level of VEGF-C is much higher than that of VEGF2(HGS).

### Analysis '

- 8.1 If VEGF2(HGS)-transfected cells had secreted any VEGF2(HGS) protein, the protein would have been captured by the anti-HA antibody and visualized in the conditioned medium from these cells (Exhibit 3, lane 1). No VEGF2(HGS) was observed in this lane, indicating that no VEGF2(HGS) secretion was occurring. Thus, I conclude that the 350 amino acid VEGF2 sequence taught in the opposed application does NOT contain a signal peptide sequence. This conclusion is further supported by the computer analysis which failed to identify any sequence in the 350 residue VEGF2 that has hydrophobicity characteristics of a signal peptide.
- The experimental procedures were sound, as evinced by the high level of secreted VEGF-C that was observed in the conditioned media of cells that had been transfected with the full-length VEGF-C cDNA construct (lane 2), and the observation of a well-defined, unsecreted 46 kD polypeptide band captured by the anti-HA antibody from the cell lysate of VEGF2(HGS)-transfected cells.

A detailed description of VEGF-C proteolytic processing is set forth in Document D71, which I incorporate herein by reference.

8.3 The fact that VEGF-C expression observable in call lysates of VEGF-C-transfected cells is much higher than VEGF2(HGS) expression observable in VEGF2(HGS)-transfected cells suggests that VEGF2(HGS) is inefficiently translated and/or that the intracellular turnover rate of VEGF2(HGS) is much faster than that of VEGF-C. In other words, the cells may be recognizing VEGF2(HGS) as an aberrant protein and rapidly degrading it.

#### Summary

9.1 The failure of cells transfected with an expression vector containing the 350 amino acid VEGF2 cDNA sequence taught in the opposed patent application to secrete any VEGF2 protein indicates that the 350 amino acid VEGF2 cDNA sequence taught in the opposed application does not contain a functional signal peptide, as the patent applicants allege.

AND I MAKE this solemn declaration by virtue of the Statutory Declarations Act 1959, and subject to the penalties provided by that Act for the making of false statements in statutory declarations, conscientiously believing the statements contained in this declaration to be true in ey particular.

DECLARED at Helsinki

this 15th

day of February 2000

Kari Alitalo

BEFORE ME:

Notary Public

Notary Public

# AUSTRALIA

Patents Act 1990

IN THE MATTER OF Australian Patent Application Serial No 696764 by Human Genome Sciences, Inc.

-and-

IN THE MATTER OF Opposition thereto by Ludwig Institute for Cancer Research

THIS IS Exhibit 1 referred to in the Statutory Declaration of Kari Alitalo made

before me this

15th

Day of February, 2000

OLLI-PEKKA SIRO



## CURRICULUM VITAE

Kari Kustaa Alitalo, born 21.05.52

# 'osition:

Research Professor, the Finnish Medical Research Council of the Finnish	Academy of Sciences 1.8.1993-31.7.2003	I .
Education:	1.8.1993-31.7.2003	
Educational Commission for Foreign Medical Graduates (USA) - exam	1076	
M.D.University of Helsinki		
	1977	
M.Sc.D. (basic sciences, corresponding to Ph.D. degree)		
University of Helsinki	1980	
Previous professional appointments:		
Research and teaching assistantships, Departments of Pathology, Virolog	у,	
State Medical Research Council, The Finnish Academy of Sciences	1977-1982	
Visiting Scientist, Department of Biochemistry,	••	
University of Washington, Seattle, USA (Dr. Paul Bornstein)	1981-1982	
Visiting Scientist, Department of Microbiology and Immunology,		
University of California, San Francisco, USA		
(Dr. J. Michael Bishop and Dr. Harold E. Varmus)	1982-1983	
Research Fellow, Senior RF, State Medical Research Council	1983-1986	
Professor of Medical Biochemistry, University of Turku	12.1986-10.1987	
Research Professor, The Finnish Cancer Institute	10.1987-07.1988	
Professor of Cancer Biology, University of Helsinki	07.1988-07.1993	•
ofessor of Medical Biochemistry, University of Helsinki		
Research Professor, the Finnish Academy of Sciences	10.1996-	
research Frotessor, the Filmish Academy of Sciences	08.1993-	
Research awards and honours:	•	
Primus Doctorum in the X Promotion of The Medical Faculty,		
University of Helsinki		1981
The Jahre Prize, Oslo, Norway	1987	
Farmos Oy: Science Prize, Turku, Finland	1987	
The Medix Prize for the Best Finnish Paper in the Biosciences in 1989	1990	
The Finnish Medical Society Duodecim Äyräpää Prize	1998	
The Medix Prize for the Best Finnish Paper in the Biosciences in 1997	1998	
Europe Medecine Senior Prize	1999	
Editorial board memberships:		
EMBO Journal	1994-1998	
	2000-	
The FASEB Journal		
International Journal of Cancer		
British Journal of Cancer		
Memberships in scientific societies:	•	
European Molecular Biology Organization	1990-	
Fund Committee	1994-1997	-
,	. 1.254-1577	

The Scientific Council, IARC/WHO
Nordic Molecular Biology Association (NOMBA)
Executive board
Scientific Evaluation group, International Cancer Technology Transfer-program (UICC)
Finnish Association of Pathology
Executive board
Chairman
1985-1991

Finnish Science Academy

Finnish Cell Biology Association

Societas Biochemica, Biophysica et Microbiologica Fennica

American Society of Cell Biology

American Association for Cancer Research

#### Mentor for doctoral training:

- 1. Robert Winqvist: Chromosomal analysis of amplified oncogenes and myc protein, 1986.
- 2. Kalle Saksela: myc genes in human lung cancer: regulation and amplification, 1989.
- 3. Lea Sistonen: Regulation of gene expression by c-Ha-ras and neu oncoproteins, 1990.
- 4. Heikki Lehväslaiho: Functional analysis of the *neu* oncoprotein by recombinant DNA techniques, 1991.
- 5. Laura Lehtola: Analysis of the *neu* oncoprotein and other tyrosine kinases expressed in breast cancer cells, 1991.
- 6. Päivi Koskinen: Regulation and roles of c-myc and other growth factor-responsive genes, 1991.
- 7. Tomi Mäkelä: Studies on *myc* family and associated proteins: identification of the *rlf-L-myc* rearrangement, 1991.
- 8. Juha Partanen: Molecular cloning and characterization of novel tyrosine kinases expressed in K562 human leukemia cells, 1992.
- 9. Elina Armstrong: Analysis of chromosomal location and expression of novel leukemia cell receptor tyrosine kinase genes, 1993.
- 10. Harri Hirvonen: Of Myc and Men expression of MYC proto-oncogenes in human fetal development, leukemias and brain tumors, 1993.
- 11. Liisa Pertovaara: Gene regulation by transforming growth factor-ß and inducers of tumor cell differentiation, 1994.
- 12. Jaana Korhonen: Characterization of endothelial receptor tyrosine kinases Tie and Flt4 in angiogenesis, 1995.
- 13. Katri Pajusola: Cloning and characterization of a new endothelial receptor tyrosine kinase Flt-4 and two novel VEGF-like growth factors VEGF-B and VEGF-C, 1996.
- Imre Västrik: Max, ΔMax and Madl as regulations of Myc proteins, 1996.
- 15. Satu Vainikka: Signal Transduction and expression of FGF receptor-4, 1996.
- 16. Erika Hatva: Receptor tyrosine kinases and growth factors in human brain tumors and vascular malformations, 1996.
- 17. Arja Kaipainen: Molecular control of lymphangiogenesis: Role of VEGF-C and its receptors, 1997.
- 18. Juha Klefström: Oncogenes as regulators of tumor necrosis factor induced cell death, 1997.
- 19. Petri Salven: Angiogenic molecules and cancer. Role of the vascular endothelial growth factor family, 1998.
- 20. Birgitta Olofsson: Studies of the vascular endothelial growth factors, VEGFs, and their receptors focusing on VEGF-B, 1999.
- 21. Athina Lymboussakis: Vascular endothelial growth factors and their receptors in embryos, adults and tumors, 1999.

#### Invited speaker:

Recombinant DNA applications to defects in cellular functions and human diseases, 12.- 14.05.1985, Gentofte, *Denmark* 

Acta Endocrinologica Congress, 4.-10.08.1985, Helsinki, Finland

EMBO Workshop on Oncogenes and Immortalization 4.-07.09.1985, Grignon, France

Meeting of the Nordic Study Group on Cellular and Chemical Carcinogenesis, 14.-17.10.1985, Gl. Vrå, Denmark

Maimonides Conference on Cancer Research, 1.-7.12.1985, Ein Gedi, Israel

Chairman of the meeting "Role of Oncogenes in Human Cancer", 9.-10.01.1986, Helsinki,

Finland

European Tumor Virus Group Meeting, Chairman of the session "Cellular Oncogenes", 12.-19.04,1986, Le Normont, *France* 

(irowth Factror Cascades: Mechanisms and opportunities for intervention, 15.-16.6.1986, Oslo,

Norway

Virus, Oncogenes et Cancer Humain, 21.4.1986, Villejuif, France

IXV Annual Meeting of the International Society for Oncodevelopmental Biology and Medicine, 14.-17.08.1986, Helsinki, *Finland* 

Recombinant DNA in Clinical Medicine, 23.-26.8.1986, Hanasaari Finland

First Conference on Differentiation Therapy 30.8.-3.9.1986, Capo Boi, Italy

Cancer Prevention: Basic and Practical, 18.-19.10.1986, Hanasaari, Finland

Growth Factors, Oncogenes and Cancer 22.-26.10.1986, Stockholm, Sweden

EMBO Symposium on Oncogenes and Growth Control, 26.-30.4.1987, Heidelberg, Germany

IX Meeting of the European Association for Cancer Research, 1.-3.6.1987, Helsinki, Finland

Expression of Oncogenes and Regulation of Cell Growth, 5.-6.6.1987, Uppsala, Sweden

Tumor Biology, Karolinska Institutet, 19.-20.8.1987, Stockholm, Sweden

ACR Workshop on Oncogene Expression in Human Tumours 2.-4.9.1987, Cambridge, UK

XII Berzelius Symposium: Growth Factors and Oncogenes - Structure, Function and Clinical

Implications, 7.-8.9.1987, Sigtuna, Sweden

Directions in Bioscience 11.-15.4.1988, Newark, USA

XXI Nordiska Kongressen I Klinisk Kemi: Growth factors, oncogenes and cancer, 19.-22.6.1988, Kuopio, *Finland* 

European Tumor Virus Group Meeting, 30.4.-5.5.1989, Sundbyholm, Sweden

Nordic Cancer Union Meeting, 17.-19.8.1989, Stockholm, Sweden

EACR Oncogenes and Growth Control meeting 11.-12.9.1989, Galway, Ireland

Molecular Basis of Human Cancer 13.-16.6.1990, Frederick, USA

European Study Group on Cell Proliferation 13.9.1990, Espoo, Finland

Oncogenes and Growth Control, The British Council 4.-7.6.1990, London, England

Third European Congress on Cell Biology, 2.-5.9.1990, Firenze, Italy

International Symposium on Angiogenesis, Chairman of the molecular biology session, 13.-15.3.1991, St. Gallen, Switzerland

Scandinavian Breast Cancer Symposium 3.-5.6.1991, Haikko, Finland

Sixth European Conference on Clinical Oncology and Cancer Nursing, 27.-31.10.1991, Firenze, Italy

22nd Symposium of the Princess Takamatsu Cancer Research Fund, 19.-21.11.1991, Tokyo, Japan

BACR Meeting on Growth Control and Cancer Therapy, 5.-7.12.1991, London, UK

6th Congress of the European Society of Surgical Oncology, 10.-13.6.1992, Helsinki, Finland

Growth Factor Receptors 15.-19.6.1992, Alpbach, Austria

Molecular Basis of Human Cancer, 18.-21.6.1992, Frederick, USA

egulatory Peptides of the Fibroblast Growth Factor Family, 11.-16.10.1992, Roscoff, France

Mutant Oncogenes: Targets for Therapy 1992, 22-23.10.1992, London, England Signalling mechanisms involved in control of cell growth, 3.-4.12.1992, London, England 8th International Symposium on Detection and Prevention of Human Cancer, 14.-18.3.1993, Nice. Phosphorylation/Dephosphorylation in Signal Transduction, 17.-24. 1.1993, Keystone, USA XII Meeting of the European Association for Cancer Research, 4.-7.4.1993, Brussels, Belgium European Congress on Biotechnology, 14.-16.6.1993, Firenze, Italy The Molecular Basis of Cancer, 18.-20.6,1993, Frederick, USA Ninth Annual Meeting on Oncogenes, 22.-26.6.1993, Frederick, USA Growth Factors and Their Receptors, 16.-18.8.1993, Uppsala, Sweden Cancer Symposium, 29.8-1.9.1993, Copenhagen, Denmark Lympho-Hemopoiesis, 4.-7.9.1993, Ulm, Germany Regulatory Molecules in Cell Proliferation, Cell Differentiation and Apoptosis, 10.-13.10.1993, Essen, Germany Banbury Meeting on Mechanisms of Developmental and Tumor Angiogenesis. 7.-10.11.1993, Cold Spring Harbor, USA Interactions of Cancer Susceptibility Genes and Environmental Carcinogens, 9.-13.11.1993, Lyon, France Molecular Pathobiology of Cancer, 11-15 4.1994, Dalfsen, The Netherlands Molecular and Cellular Aspects of FGFs and their Receptors, 29.5.-02.6.1994, Capri, Italy FEBS Special Meeting on Biological Membranes, 26.6.-1.7.1994, Helsinki, Finland Regulation of Hematopoietic Stem Cells, 18.-20.12.1994, Osaka, Japan Human Hematopoietic Stem Cell Meeting, 31.3.-2.4.1995, Vienna, Austria Cytoplasmic Protein-Tyrosine Kinases, 12.-14.5.1995, Stockholm, Sweden Chairman of the EMBO Workshop on Growth Factors and Receptor Kinases, 26.-28.5.1995, Helsinki, Finland The Frontiers of Contemporary Science, 5.-7.6.1995, Kuopio, Finland 3rd Meeting of the Federation of European Biochemical Societies, 13.-18.8.1995, Basel, Switzerland International Society of Experimental Hematology, 27.-31.8.1995, Düsseldorf, Germany Tumor angiogenesis and anti-angiogenesis, 1.-5.11.1995, Titisee, Germany Keystone symposium on Signal Transduction through Tyrosine Kinases, 27.3.-2.4.1996, Taos, USA Vascular Endothelium and Regulation of Leukocyte Traffic, 20-22.5.1996, Madrid, Spain EMBO Practical Course on Growth and Differentiation Factors, 27.7.1996, Birmingham, England Fourth International Workshop on Targeted Cancer Therapy, 21.-23.8.1996, Bethesda, Maryland, USA Symposium on Vascular Remodeling, 14.9.1996, Tokyo, Japan IX International Vascular Biology Meeting, 4.-8.9.1996, Seattle, USA First Haartman Symposium on Cell Differentiation, 19.-21.9.1996 Helsinki, Finland Development, Cell Differentiation and Cancer, 28.9.-2.10.1996, Pisa, Italy The Role of Cytokines in Human Disease, 17.-20.11.1996, Tegernsee, Germany AACR Conference on Cell Signalling and Cancer Treatment, 23.-28.2.1997, Telfs-Buchen, Austria A lecturer of the Program of Ten-Year Cancer Control, 29.3.-6.4.1997, Tokyo, Kanazawa, Kumamoto, Gordon Conference on Angiogenesis and Microcirculation, 17.-22.8.1997, New Hampshire, USA Wenner-Gren Symposium on Protein Phosphorylation, 4.-6.9.1997, Stockholm, Sweden Cell Signaling and Tumor Angiogenesis, 9.-14.9.1997, Lake Placid, USA

The European Cancer Conference, 14.-18.9.1997, Hamburg, Germany

Molecular Determinants of Cancer Metastasis, 28.-31.10.1997, Houston, USA

merican Society of Hematology Annual Meeting, 3.-11.12.1998, San Diego, USA\_\_

Philippe Laudat Conference, 21.-25.9.1997, Paris, France

The Endothelial Cell, 14.11.1997, Paris, France

Angiogenesis and Cancer, 24.-28.1.1998, Orlando, *USA*Signal Transduction and Angiogenesis, 5.-8.2.1998, Paris, *France* 

Ovarian Cancer - Basic Science and Modern Treatment, 20.3.1998, Tampere, Finland

Vascular Biology of Complications in Diabetes, 5.4.1998, Stockholm, Sweden

IBC/Angiogenesis Meeting 24.4.1998, Boston, USA

Angiogenesis Meeting, 27.5.1998, London, England

MDC Symposium, 6th Symposium on Gene Therapy, 4.-6.5.1998, Berlin-Buch, Germany

Vascular Complications in Diabetes, 30.4.1998, Stockholm, Sweden

EFES 2nd Postgraduate Course in Molecular and Cellular Endocrinology, 8.6.1998, Turku, *Finland* Laboratory Medicine 98, XXVI Nordic Congress of Clinical Chemistry, 8.6.1998. Turku, *Finland* 

Silver Jubilee FEBS Meeting, 5.-10.7.1998, Copenhagen, Denmark

Vascular Biology Conference 98, 24.-25.7.1998, Ohtsu, Japan

Gordon Research Conference on Peptide Growth Factors, 9.-14.8.1998, New Hampshire, USA

Xth International Vascular Biology Meeting, 23.-27.8.1998, Cairns, Australia

5th Franz-Volhard-Symposium, 3.-4.9.1998, Gross Dölln, Germany

First International Symposium on GIST, 25.-26.9.1998, Helsinki, Finland

10th Conference of the International Society of Differentiation, 3.-7.10.1998, Houston, USA

29th International Symposium of the Princess Takamatsu Cancer Research Fund, 17.-19.11.1998, Tokyo, Japan

Novel tools and methologies to promote or inhibit angiogenesis for drug development, 3.-4.12.1998, London, *England* 

Role vascular endothelial growth factors in normal and pathological blood vessel formation, 18.-20.12.1998, Siena, *Italy* 

UK Molecular Biology and Cancer Network meeting 15, 14.-16.12.1998, Warwick, *England* NOVO Nordisk Ceremony, 24.-25.1.1999, Copenhagen, *Denmark* 

ESF/EMRC Workshop on Proteome Analysis in Medical Research, 5.-7.2.1999, Chamonix, *France* Annual Meeting of the Center for Molecular medicine (ZMMK), Signal Transduction and Disease, 13.-1.3.1999, Cologne, *Germany* 

Danish Association for Cancer Research, Annual Meeting, 22-23.4.1999, Copenhagen, *Denmark* International Titisee Conference, Parallels in cancer and embryonic development, 29.4.-2.5.1999, Titisee-Neustadt, *Germany* 

EVBA meeting, Endothelial Cell Activation: Inflammation and Angiogenesis, 15.-16.5.1999, Baden, Austria

Ludwig Institute for Cancer Research, Angiogenesis meeting, 7.6.1999, Helsinki, Finland

European Developmental Biology Congress-99, 19-23.6.1999, Oslo, Norway

UICC Conference on Cell Signaling and Cancer, 5.-8.8.1999, Tammsvik, Sweden

Gordon Conference on Angiogenesis and Microcirculation, Salve Regina University, 14.-21.8.1999, Newport, *USA* 

VII Danish Cancer Society Symposium, 24.8.1999, Copenhagen, Denmark

The IXth Annual BioCity Symposium, From Receptor Activation to Gene Expression, 26.-27.8.1999, Turku, *Finland* 

MMGM, Mouse Molecular Genetics Meeting, 4.9.1999, Heidelberg, Germany

European Meeting on Vascular Biology and Medicine, 29.-30.9.1999, Nürnberg, Germany

EMBO Workshop on Stem Cells, Growth Factors and Cancer, 7.-10.10.1999, Torino, Italy

IIGB Workshop on Vasculogenesis and Angiogenesis, 9.-12.10.1999, Capri, Italy

ESH Conference on Angiogenesis and Tumours, 22.-25.10.1999, Paris, France

International Society for Oncodevelopmental Biology and Medicine, 31.10.-4.11.1999, Kyoto, Japan

ASN Basic Science Conference, 2.-4.11.1999, Miami, USA

Workshop on Lymphoid Organogenesis, 5.11.1999, Basel, Switzerland

ological basis for antiangiogenic therapy, 7.-10.11.1999, Milan, Italy

Angiogenesis Workshop, 11.11.1999, Basel, Switzerland Nordic Symposium of Radiation Oncology, 22.-24.11.1999, Tampere, Finland

### Opponent of doctoral dissertations:

Dr. Zvi Wirschubsky, Karolinska Institutet, Stockholm, Sweden, 1986

Dr. Sigurdur Ingvarsson, Karolinska Institutet, Stockholm, Sweden, 1989

Dr. Arne Östman, University of Uppsala, Uppsala, Sweden, 1990

Dr. Klaus Elenius, University of Turku, Turku, Finland, 1992

Dr. Berthe Willumsen, University of Copenhagen, Copenhagen, Denmark, 1993

the matrix of amniotic epithelial cells. EMBO J. 1: 47-52, 1982.

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Patents

United States Patent 5,607,918 Eriksson, et. al. Mar. 4, 1997 Vascularendothelial growth factor-B and DNA coding therefor Inventors: Eriksson; Ulf (B.ang.Ista, SE); Olofsson; Birgitta (Sundbyberg, SE); Alitalo; Kari (Helsinki, FI); Pajusola; Katri (Helsinki, FI). Assignee: Ludwig Institute for Cancer Research (New York, NY); Helsinki University Licensing Ltd. Oy (University of Helsinki, FI). Appl. No.: Filed: Jun. 6, 1995

nited States Patent 5,776,755 Alitalo, et. al. Jul. 7, 1998 FLT4, a receptor tyrosine kinase Inventors: Alitalo; Kari (Espoo, FI); Aprelikova; Olga (Helsinki, FI); Pajusola; Katri (Helsinki, FI); Armstrong; Elina (Helsinki, FI); Korhonen; Jaana (Helsinki, FI); Kaipainen; Arja (Helsinki, FI). Assignee: Helsinki University Licensing, Ltd. (Helsinki, FI). Filed: Nov. 14, 1994

# AUSTRALIA

Patents Act 1990

IN THE MATTER OF Australian Patent Application Serial No 696764 by Human Genome Sciences, Inc.

-and-

IN THE MATTER OF Opposition thereto by Ludwig Institute for Cancer Research

THIS IS Exhibit 2 referred to in the Statutory Declaration of Kari Alitalo made

before me this

15th

Day of February, 2000

OLLI-PEKKA SIRO Notary Public Notary Public



#### **EXHIBIT 2**

# Nucleotide and Amino Acid Sequence of VEGF-C and primers to make VEGF2(HGS)

The 5' and 3' primers used in the PCR reaction are indicated in capital letters. The BamHI site in the 5' primer and the XbaI site in the 3' primer are underlined. The 3' primer also encodes an HA-tag 3' to the last codon of VEGF-C (which encodes a serine), followed by a stop codon indicated in boldface.

•					·								,		Met		357
	ttg Leu	ctg Leu	ggc Gly 5	ttc Phe	ttc Phe	tct Ser	gtg Val	gcg Ala 10	tgt Cys	Ser	ctg Leu	ctc Leu	gcc Ala 15	gct Ala	gcg Ala	ctg Leu	405
	ctc Leu	ecg Pro 20	Gly	Pro	'cgc Arg	gag Glu	gcg Ala 25	ccc	gcc Ala	gcc Ala	gcc Ala	gcc Ala 30	gcc Ala	ttc Phe	gag Glu	tcc Ser	453
	gga Gly 35	ctc Leu	gac Asp	ctc Leu	tcg Ser	gac Asp 40	gcg Ala	gag Glu	Pro	gac Asp	gcg Ala 45	gly	gag Glu	gcc Ala	acg Thr	gct Ala 50	50,1
	tat Tyr	gca Ala	agc Ser	aaa Lys	gat Asp 55	ctg Leu	gag Glu	gag Glu	cag Gļn	tta Leu 60	cgg Arg	tct Ser	gtg Val	tcc Ser	agt Ser 65	gta Val	549
5′	-CGC	<u>GGA</u>	TCC	ATG	ACT	GTA	CTC	TAC	CCA-	-3′ 5	5' P1	ime					.•
	gat Asp	gaa Glu	ctc Leu	atg Met 70	act Thr	gta Val	ctc Leu	tac Tyr	cca Pro 75	gaa Glu	tat Tyr	tgg Trp	aaa Lys	atg Met 80	tac Tyr	aag Lys	597
	tgt Cys	cag Gln	cta Leu 85	agg Arg	aaa Lys	gga Gly	Gly	tgg Trp 90	caa Gln	cat His	aac Asn	aga Arg	gaa Glu 95	cag Gln	gcc Ala	aac Asn	645
٠,	Le <u>ų</u>	aac Asn 100	tca Ser	agg Arg	aca Thr	gaa Glu	gag Glu 105	act Thr	ața Ile	aaa Lys	ttt Phe	gct Ala 110	gca Ala	gca Ala	cat His	tat Tyr	693
	aat Asn 115	aca Thr	gag Glu	atc Ile	Leu	aaa Lys 120	agt Ser	att Ile	gat Asp	aat Asn	gag Glu 125	tgg Trp	aga Arg	aag Lys	act Thr	caa Gln 130	741
	tgc Cys	atg Met	cca Pro	cgg Arg	gag Glu 135	gtg Val	tgt Cys	ata Ile	gat Asp	gtg Val 140	Gly 999	aag Lys	gag Glu	ttt Phe	gga Gly 145	gtc Val	789
· .	gcg Ala	aca Thr	aac Asn	acc Thr 150	ttc Phe	ttt Phe	aaa Lys	Pro	cca Pro 155	tgt Cys	gtg Val	tcc Ser	gtc Val	tac Tyr 160	aga Arg	tgt Cys	837
	GJA aaa	ggt Gly	tgc Cys 165	tgc Cys	aat Asn	agt Ser	gag Glu	999 Gly 170	ctg Leu	cag Gln	tgc Cys	atg Met	aac Asn 175	acc Thr	agc Ser	acg Thr	885
	agc Ser	tac Tyr 180	ctc Leu	agc Ser	aag Lys	acg Thr	tta Leu 185	ttt Phe	gaa Glu	att Ile	aca Thr	gtg Val 190	cct Pro	ctc Leu	tct Ser	caa Gln-	933

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ggc Gly 195	Pro	aaa Lys	cca Pro	gta Val	aca Thr 200	Ile	agt Ser	ttt Phe	gcc Ala	aat Asn 205	cac His	act	tcc Ser	tgc Cys	cga Arg 210	981
tgc Cys	atg Met	tct Ser	aaa Lys	ctg Leu 215	Asp	gtt Val	tac Tyr	aga Arg	caa Gln 220	gtt Val	cat His	tcc Ser	att Ile	att Ile 225	aga Arg	1029
cgt Arg	tcc Ser	ctg Leu	cca Pro 230	gca Ala	aca Thr	cta Leu	cca Pro	cag Gln 235	tgt Cys	cag Gln	gca Ala	gcg Ala	aac Asn 240	aag Lys	acc Thr	1077
tgc Cys	ccc Pro	acc Thr 245	aat Asn	tac Tyr	atg Met	tgg Trp	aat Asn 250	aat Asn	cac	atc Ile	tgc Cys	aga Arg 255	tgc Cys	ctg Leu	gct Ala	1125
cag Gln	gaa Glu 260	gat Asp	ttt Phe	atg Met	ttt Phe	tcc Ser 265	tcg Ser	gat Asp	gct Ala	Gly	gat Asp 270	gac Asp	tca Ser	aca Thr	gat Asp	1173
gga Gly 275	ttc Phe	cat His	gac Asp	atc Ile	tgt Cys 280	gga Gly	cca Pro	aac Asn	aag Lys	gag Glu 285	ctg Leu	gat Asp	gaa Glu	gag Glu	acc Thr 290	1221
tgt Cys	cag Gln	tgt Cys	gtc Val	tgc Cys 295	aga Arg	gcg Ala	GJA aaa	ctt Leu	cgg Arg 300	cct Pro	gcc Ala	agc Ser	tgt Cys	gga Gly 305	ccc Pro	1269
cac His	aaa Lys	gaa Glu	cta Leu 310	gac Asp	aga Arg	aac Asn	tca Ser	tgc Cys 315	cag Gln	tgt Cys	gtc Val	tgt Cys	aaa Lys 320	aac Asn	aaa Lys	1317
ctc Leu	ttc Phe	ccc Pro 325	agc Ser	caa Gln	tgt Cys	ely aaa	gcc Ala 330	aac Asn	cga Arg	gaa Glu	ttt Phe	gat Asp 335	gaa Glu	aac Asn	aca Thr	1365
tgc Cys	cag Gln 340	tgt Cys	gta Val	tgt Cys	aaa Lys	aga Arg 345	acc Thr	tgc Cys	ccc Pro	aga Arg	aat Asn 350	caa Gln	ccc Pro	cta Leu	aat Asn	1413
cct Pro 355	gga Gly	aaa Lys	tgt Cys	gcc Ala	tgt Cys 360	gaa Glu	tgt Cys	aca Thr	gaa Glu	agt Ser 365	cca Pro	cag Gln	aaa Lys	tgc Cys	ttg Leu 370	1461
tta Leu	aaa Lys	gga Gly	aag Lys	aag Lys 375	ttc Phe	cac His	cac His	caa Gln	aca Thr 380	tgc Cys	agc Ser	tgt Cys	tac Tyr	aga Arg 385	cgg Arg	1509
cca Pro	tgt Cys	acg Thr	aac Asn 390	cgc Arġ	cag Gln	aag Lys	gct Ala	tgt Cys 395	gag Glu	cca Pro	gga Gly	ttt Phe	tca Ser 400	tat Tyr	agt Ser	1557
			•	•					3'Pr	imer	3'	TĊT	GGT	GTT	TAC	•
gaa Glu	GLu	gtg Val 405	tgt Cys	cgt Arg	tgt Cys	gtc Val	cct Pro 410	tca Ser	tat Tyr	tgg Trp	aaa Lys	aga Arg 415	cca Pro	caa Gln	atg Met	1605
															٠.	•

TCG GAG CTC ATG GGT ATG CTG CAG GGT CTG ATG CGA ACT AGA TCT CGC-5'

agc taagattgta ctgttttcca gttcatcgat tttctattat ggaaaactgt

1658

### **AUSTRALIA**

Patents Act 1990

IN THE MATTER OF Australian Patent Application Scrial No 696764 by Human Genome Sciences, Inc.

-and-

IN THE MATTER OF Opposition thereto by Ludwig Institute for Cancer Research

THIS IS Exhibit 3 referred to in the Statutory Declaration of Kari Alitalo made

before me this

TOTAL STREET

15th

Day of February, 2000

OLLI-PEKKA SIRO Notary Public

Notary Public



	(	CM		L	lell ysai	tes
kDa	VEGF-2(HGS)	VEGF-C	MOCK	VEGF-2(HGS)	VEGF-C	MOCK
66 – 46 –						
30 —						
21.5 –						
14.3 -						